

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	10999998
<b>Application Number:</b>	10562615
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	6138
<b>Title of Invention:</b>	Tricyclic derivatives or pharmaceutically acceptable salts thereof, their preparations and pharmaceutical compositions containing them
<b>First Named Inventor/Applicant Name:</b>	Myung-Hwa Kim
<b>Customer Number:</b>	20311
<b>Filer:</b>	Donald C. Lucas/Yun H Choe
<b>Filer Authorized By:</b>	Donald C. Lucas
<b>Attorney Docket Number:</b>	428.1145
<b>Receipt Date:</b>	21-SEP-2011
<b>Filing Date:</b>	27-JUL-2006
<b>Time Stamp:</b>	13:38:58
<b>Application Type:</b>	U.S. National Stage under 35 USC 371

### Payment information:

Submitted with Payment	no
------------------------	----

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Supplemental Response or Supplemental Amendment	428-1145_Suppl_response_2011-09-21.pdf	144955 2f6d95529550be2fed31dd8968fe9ee385ef4ddd	no	3

### Warnings:

### Information:

2	Rule 130, 131 or 132 Affidavits	428-1145_Declaration_1-132.pdf	134204 81d72f7dfd1b40cbb1fd261ca44473e46e a4c4	no	5
<b>Warnings:</b>					
<b>Information:</b>					
3	Miscellaneous Incoming Letter	Exhibit_CV.pdf	214815 81e61a1127a01769563f049c0d1cf8547791 2ba8	no	6
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>			493974		
<p><b>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</b></p> <p><b><u>New Applications Under 35 U.S.C. 111</u></b>  <b>If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</b></p> <p><b><u>National Stage of an International Application under 35 U.S.C. 371</u></b>  <b>If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</b></p> <p><b><u>New International Application Filed with the USPTO as a Receiving Office</u></b>  <b>If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</b></p>					